FRIEDBERG MERCANTILE GROUP LTD.

PEP/FATCA Update

DATE

Account Holder Information

FIRST | LAST | ACCOUNT NUMBER

Required Regulatory Information

If answer is YES, provide the information to the right of the question

Are you a 'reporting insider' within the meaning of the regulation?	☐ NO	☐ YES	COMPANY NAME _____ STOCK TICKER _____
Do you, alone or as a part of a group, have a control position in any such company?	☐ NO	☐ YES	COMPANY NAME _____ STOCK TICKER _____
Are you or your spouse an Employee, Director, Partner, or Officer of a securities dealer, or of a stock exchange itself, or of CIRO?	☐ NO	☐ YES	PLEASE PROVIDE DETAILS: _____

Are you or any of your family members or close associates a Politically Exposed Person/Head of International Organization as defined under AML regulations?

(A) FOREIGN POLITICALLY EXPOSED PERSON ☐ YES ☐ NO

(B) DOMESTIC POLITICALLY EXPOSED PERSON ☐ YES ☐ NO

(C) HEAD OF INTERNATIONAL ORGANIZATION ☐ YES ☐ NO

FATCA & CRS Self-Certification

Select all options that apply and complete the requested information.

☐ I am a resident of Canada for tax purposes.

☐ I am a citizen or resident of the United States for tax purposes.

Provide your: SSN _____ ITIN _____

If you don't provide a SSN/ITIN of the United States, please provide the reason (A or C) in reference to the list below:

☐ I am a resident of a country other than Canada and the United States for tax purposes.

If you checked this box, specify all your countires of residence for tax purposes and your taxpayer identification number (TIN).

COUNTRY OF RESIDENCE FOR TAX PURPOSES	TAXPAYER IDENTIFICATION NUMBER (SSN/ITIN/TIN)	IF NO SSN/ITIN/TIN IS AVAILABLE PLEASE SELECT REASON* (A, B, C, OR D)

If you are a citizen or resident of a country other than Canada for tax purposes and you also have a Canadian social insurance number, please provide it:

_____

** If the SSN/ITIN/TIN is not provided, please indicate one of the following reasons as to why it is not available:*

Reason A I will request my SSN /ITIN/TIN or I have already requested it but have not yet received it. If I have not yet requested one, I have 90 days to apply for it and 15 days after I receive it to provide it to my financial institution.

Reason B The country where I am a resident for tax purposes does NOT issue a TIN to its residents (excluding the United States).

Reason C I have it, but I do not have it on hand. I will provide it within 15 days.

Reason D I refuse to provide it, as requested per Part XVIII and Part XIX of the Income Tax Act.

NOTE: IF THE REASON FOR NOT PROVIDING MY SSN/ITIN/TIN IS A, C OR D, I UNDERSTAND THAT MY FINANCIAL INSTITUTION MAY HAVE TO DECLARE MY ACCOUNT BASED ON ANY INDICIA THAT MAY INDICATE A FOREIGN TAX RESIDENCE AND THAT I MAY BE SUBJECT TO PENALTIES FROM CRA AS A RESULT OF MY REFUSAL OR NEGLIGENCE TO PROVIDE THIS INFORMATION AS REQUIRED UNDER PART XVIII AND/OR XIX OF THE INCOME TAX ACT. I AGREE TO ASSUME ANY LIABILITY DIRECTLY OR INDIRECTLY RELATED TO THIS SITUATION.

Acknowledgement

By signing below I acknowledge and agree that the information provided is complete and accurate and I agree to inform my Investment Advisor immediately of any changes in the information I have provided, including changes with respect to my financial situation, investment objectives and risk tolerance.

ACCOUNT HOLDER SIGNATURE | DATE | JOINT ACCOUNT HOLDER SIGNATURE (IF APPLICABLE) | DATE